



Jeffrey E. Swaim

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Overview

Jeff has extensive experience in business law and a meticulous attention to detail. He is known for his critical analysis and for carefully drafting contracts to avoid pitfalls and potential litigation.

As a partner and chair of Mirick's Business Group, Jeff's practice focuses on mergers and acquisitions, equity financing, contract drafting and negotiation, business formation, corporate governance, and general corporate and business matters.

His clients come in all sizes and from a myriad of industries. From small start-up ventures to large multi-national public companies, Jeff provides industry insights, risk management techniques, and strategic solutions to help clients meet their business objectives.

Experience

- Represented a client in connection with the roll-up of eight teleconferencing companies involving a \$75 million bond offering and follow-on IPO
- Represented a technology company in connection with a \$6 million venture capital financing
- Represented a large public company in connection with the negotiation of multi-million-dollar component supply agreements
- Represented numerous clients in various industries with business
 acquisitions and divestitures

Kim Keating

Education

Boston University School of Law JD (1994) Duke University BA (1988)

Bar Admissions

Massachusetts

Related Services

Business Law Financing Mergers and Acquisitions Securities

Related Industries

Life Sciences Manufacturing



- Represented start-up companies in connection with business
 formation and equity financing
- Served as outside general counsel to companies in a variety of industries
- Drafted and negotiated a wide range of corporate and commercial agreements

Affiliations

- American Bar Association
- Massachusetts Bar Association
- American Red Cross, Central Massachusetts Chapter, board member

Articles & Speaking Engagements

- "<u>CTA Enforcement Suspended for US Citizens and Domestic Entities</u>," Mirick O'Connell Client Alert, March 6, 2025
- <u>"Corporate Transparency Act's Beneficial Ownership Reporting is</u> <u>Back,</u>" Mirick Client Alert, February 21, 2025
- <u>"CTA Update: Beneficial Ownership Filing Requirements Remain</u> <u>Voluntary, Despite Supreme Court Decision</u>," Mirick O'Connell Client Alert, January 30, 2025
- "UPDATE: FinCEN's Response to U.S. District Court Decision Notice of Appeal Filed," Mirick O'Connell Client Alert, December 11, 2024
- "Corporate Transparency Act Enforcement Enjoined, including Beneficial Ownership Reporting Requirements," Mirick Client Alert, December 4, 2024
- "<u>A new beneficial ownership reporting requirement commences on</u> January 1, 2024," Mirick O'Connell Client Alert, December 13, 2023
- "<u>Corporate Transparency Act</u>," *On Air with Mirick O'Connell* legal podcast (December 2023)
- "Preparing for the Corporate Transparency Act Equity Ownership Disclosure Rules," Mirick O'Connell Client Alert, August 8, 2022
- "New PPP Flexibility Act Extends Forgiveness Period and Maturity Date



Among other Benefits," Mirick O'Connell Client Alert, June 9, 2020

- "Good News for Many PPP Loan Recipients: Treasury Department Issues New Guidance on "Necessity" Certification for PPP Loan Applicants that Should Ease Concerns," Mirick O'Connell Client Alert, May 14, 2020
- "<u>PPP Return Deadline Extended to May 14th</u>," Mirick O'Connell Client Alert, May 7, 2020
- "<u>COVID-19 and Business Interruption Insurance</u>," Mirick O'Connell Client Alert, April 6, 2020
- "<u>The New SBA Payment Protection Program Loan For Small</u> <u>Businesses – a Q & A</u>," Mirick O'Connell Client Alert, April 1, 2020
- "Hot Topics in Healthcare Compliance," Mirick O'Connell Health Law Seminar
- "Legal Issues for Start-ups," Small Business Development Center, Center for Women and Enterprise, Worcester Polytechnic Institute CEO East Business Conference
- "LLCs Demystified," Massachusetts Continuing Legal Education
- "LLCs: Advising Small Businesses, Start-ups and Larger Companies," Lorman Seminar
- "Basic Tax Issues for the Business Lawyer," Massachusetts Continuing Legal Education
- "Non-Competes: Getting Them Right the First Time," New England Business Brokers Association